A. Frabetti (Lyon, France)

based on a work in progress with

Ivan P. Shestakov (Sao Paulo, Brasil)

Potsdam, 8–12 February, 2016

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- Renormalization Hopf algebras:
 - are **right-sided combinatorial Hopf alg** [Loday-Ronco 2008, Brouder-AF-Menous 2011]
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• Puzzling situation:

- Series with coefficients in a non-comm. algebra A do appear in physics, but their commutative representative Hopf algebra is not functorial in A cf. [Van Suijlekom 2007] for QED.
- These series are related to some non-commutative Hopf algebras which are functorial in *A*.

How are series related to non-commutative Hopf algebras?

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• Toy model: the set of formal diffeomorphisms in one variable

$$\operatorname{Diff}(A) = \left\{ a(\lambda) = \lambda + \sum_{n \ge 1} a_n \ \lambda^{n+1} \mid a_n \in A \right\}$$

with composition law $(a \circ b)(\lambda) = a(b(\lambda))$ and unit $e(\lambda) = \lambda$, when A is a unital associative algebra, but **not commutative**.

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Examples of non-commutative coefficients A:

 $\begin{array}{ll} M_4(\mathbb{C}) & \mbox{matrix algebra} \\ \mbox{cf. QED renormalization [Brouder-AF-Krattenthaler 2001, 2006]} \\ T(E) & \mbox{tensor algebra} \\ \mbox{cf. renormalization functor [Brouder-Schmitt 2002]} \\ \mbox{and work in progress on bundles with Brouder and Dang} \\ \mathcal{L}(\mathcal{H}) & \mbox{linear operators on a Hilbert space} \end{array}$

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- Two problems:
 - 1) define (pro)algebraic groups on non-commutative algebras

2) modify because Diff(A) is **not** a group!

Lie and (pro)algebraic groups on commutative algebras



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Lie and (pro)algebraic groups on commutative algebras



Let A be a **commutative** algebra and H be a **commutative Hopf** algebra. Denote: multiplication m, unit u, coproduct Δ , counit ε and antipode S.

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• The set $\operatorname{Hom}_{uCom}(H, A)$ forms a group with

 $\begin{array}{ll} \mbox{convolution} & \alpha \ast \beta = m_A \; (\alpha \otimes \beta) \; \Delta_H \\ \mbox{unit} & e = u_A \; \varepsilon_H \\ \mbox{inverse} & \alpha^{-1} = \alpha \; S_H \end{array}$

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Let A be a **commutative** algebra and H be a **commutative Hopf** algebra. Denote: multiplication m, unit u, coproduct Δ , counit ε and antipode S.

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• Let \mathfrak{g} be a Lie algebra with bracket [,]. Then $\mathfrak{g}_A = \mathfrak{g} \otimes A$ is also a Lie algebra with bracket

$$[x \otimes a, y \otimes b] = [x, y] \otimes ab,$$

and $U\mathfrak{g}_A \cong U\mathfrak{g} \otimes A$.

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• Even if *H* is a Hopf algebra, the convolution $\alpha * \beta = m_A (\alpha \otimes \beta) \Delta_H$ is not well defined on $\underset{uAs}{\operatorname{Hom}}(H, A)$, because it is not an algebra morphism.

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• If $(H, \Delta^{\circledast})$ is a modified Hopf algebra, then the natural projection $\Delta = \pi \Delta^{\circledast} : H \to H \circledast H \to H \otimes H$ defines a **usual Hopf algebra!** This explains how invertible series $G(A) = \left\{a(\lambda) = 1 + \sum a_n \lambda^n\right\}$ with $(a\dot{b})(\lambda) = a(\lambda)b(\lambda)$ still form a proalgebraic group, represented by the algebra of **non commutative symmetric functions**

$$H = \mathbb{K}\langle x_1, x_2, \ldots \rangle, \qquad \Delta^{\circledast}(x_n) = \sum x_m \otimes x_{n-m}.$$

[Brouder-AF-Krattenthaler 2006, cf. AF-Manchon 2014]

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• In fact it is an **open problem** to define a Lie bracket on $\mathfrak{g} \otimes A!$

Only known example is $\mathfrak{sl}_2 \otimes J$ where J is a Jordan algebra (commutative but not associative), but does not fit.

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Hints come from **good triples of operads** [Loday 2008], if we apply functors to non-commutative algebras get the triple (As, As, Vect): \mathfrak{g}_A is just a vector space!

(Pro)algebraic groups on non-commutative algebras



Still a problem with diffeomorphisms!

• If A is a unital associative algebra (not commutative), the set

$$\operatorname{Diff}(A) = \left\{ a(\lambda) = \lambda + \sum a_n \ \lambda^{n+1} \mid a_n \in A \right\}$$

does not form a group because the composition is not associative:

$$(a \circ (b \circ c))(\lambda) - ((a \circ b) \circ c)(\lambda) = (a_1b_1c_1 - a_1c_1b_1) \lambda^4 + O(\lambda^5) \neq 0.$$

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• However the Faà di Bruno Hopf algebra $H_{\rm FdB} = R[{\rm Diff}]$ lifts up to a non commutative Hopf algebra $H_{\rm FdB}^{\rm nc} = \mathbb{K}\langle x_1, x_2, ... \rangle$ with

$$\Delta_{\mathrm{FdB}}^{\mathrm{nc}}(x_n) = \sum_{m=0}^{\infty} x_m \otimes \sum_{(k)} x_{k_0} \cdots x_{k_m} \qquad (x_0 = 1),$$

where $(k) = (k_0, k_1, ..., k_m)$ with $k_i \ge 0$ and $k_0 + k_1 + \cdots + k_m = n - m$ [Brouder-AF-Krattenthaler 2006].

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- The coproduct $\Delta_{\rm FdB}^{\rm nc}$ can be modified into an algebra morphism

$$\Delta^{\circledast}_{\mathrm{FdB}}: \mathcal{H}^{\mathrm{nc}}_{\mathrm{FdB}} \longrightarrow \mathcal{H}^{\mathrm{nc}}_{\mathrm{FdB}} \circledast \mathcal{H}^{\mathrm{nc}}_{\mathrm{FdB}},$$

then it represents Diff(A) and of course it loses coassociativity!

Smooth loops

• A **loop** is a set Q with a multiplication and a unit e, such that the operators of left and right translation

$$L_a(x) = a \cdot x$$
 and $R_a(x) = x \cdot a$

are invertible, but $L_a^{-1} \neq L_{a^{-1}}$, $R_a^{-1} \neq R_{a^{-1}}$ because a^{-1} does not exist! Call left and right division: $a \setminus b = L_a^{-1}(b)$ and $b/a = R_a^{-1}(b)$.

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- Smooth loops were introduced by Ruth Moufang [1935], later related to Maltsev algebras [1955] and to algebraic webs [Blaschke 1955].
- Any Lie group is a smooth loop: $a/b = a \cdot b^{-1}$ and $a \setminus b = a^{-1} \cdot b$.
- The smallest loop which is not a group is the sphere S⁷, which can be seen as the set of unit octonions in O.



A homogeneous space is a (local) loop with the residual structure of the group action. That is, if M = G/H is a homogeneous space for a Lie group G, p: G → M is the projection and i : U ⊂ M → G a (local) section around any point e ∈ M, then

$$x \cdot y = p(i(x)i(y)), \qquad x, y \in M$$

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- A manifold with flat connection is a "geodesic" (local) loop.
 - If Q is a smooth loop, define a parallel transport $P_a^b: T_aQ \to T_bQ$ as the differential of the map $x \mapsto b \cdot (a \setminus x)$. The tangent bundle is then trivialized, and get a flat connection ∇ [Sabinin 1986].

N.B. For Lie groups, same result by Élie Cartan [1904, 1927], moreover torsion has zero covariant derivative!

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$$a \bullet_e b = \exp_a \left(P_e^a(log_e(b)) \right).$$

Moreover it is **right-alternative**: $(a \bullet b^p) \bullet b^q = a \bullet b^{p+q}$.

A homogeneous space is a (local) loop with the residual structure of the group action. That is, if M = G/H is a homogeneous space for a Lie group G, p: G → M is the projection and i : U ⊂ M → G a (local) section around any point e ∈ M, then

$$x \cdot y = p(i(x)i(y)), \qquad x, y \in M$$

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Moreover it is **right-alternative**: $(a \bullet b^p) \bullet b^q = a \bullet b^{p+q}$. If Q is right-alternative then $\cdot = \bullet$, otherwise $a \cdot b = a \bullet \Phi(a, b)$.

Infinitesimal structure of loops: Sabinin algebras

• A Sabinin algebra (ex Φ -hyperalgebra) is a vector space q with

$$\langle \ ; \ , \ \rangle \colon \mathcal{T}\mathfrak{q} \otimes \mathfrak{q} \wedge \mathfrak{q} \longrightarrow \mathfrak{q}$$
$$\Phi \colon S\mathfrak{q} \otimes S\mathfrak{q} \longrightarrow \mathfrak{q}$$

such that, if $u, v \in Tq$ and $x, y, z, z' \in q$ are chosen in a given basis,

$$\langle u[z, z']v; x, y \rangle + \sum \langle u_{(1)} \langle u_{(2)}; z, z' \rangle v; y, x \rangle = 0$$
$$\sum_{(x,y,z)} \left(\langle uz; x, y \rangle + \sum \langle u_{(1)}; \langle u_{(2)}; x, y \rangle, z \rangle \right) = 0$$

where $\Delta u = \sum u_{(1)} \otimes u_{(2)}$ is the unshuffle coproduct on $T\mathfrak{q}$ (cocom).

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 Geometrical explanation: if q = T_eQ and ∇ is the flat connection on Q, can choose a basis of ∇-constant vector fields X, Y, Z, ... so that ∇_XY = 0 and R(X, Y)Z = 0, and set

$$\langle Z_1, ..., Z_m; X, Y \rangle = \nabla_{Z_1} \cdots \nabla_{Z_m} T(X, Y)$$

(Φ omitted because more complicated). Then **Sabinin identities = Bianchi identities** relating torsion and curvature.

Smooth and (pro)algebraic loops on commutative algebras



Standard way to produce loops: invertibles in magmatic algebras or formal loops. Here, non standard one: modify coefficients [AF-Shestakov]

• Heisenberg loop: the set of Heisenberg matrices (or any triangular)

$$HL_{3}(A) = \left\{ \left(egin{array}{ccc} 1 & a & c \\ 0 & 1 & b \\ 0 & 0 & 1 \end{array}
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is a loop with matrix product even when A is a **non-associative algebra** (e.g. octonions). It is a group if A associative (e.g. quaternions).

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• Loop of formal diffeomorphisms: the set of formal diffeomorphism

$$\operatorname{Diff}(A) = \left\{ a = \sum_{n \ge 0} a_n \ \lambda^{n+1} \mid a_0 = 1, a_n \in A \right\},$$

with composition
$$a \circ b = \sum_{n \ge 0} \sum_{m=0}^n \sum_{k_0 + \dots + k_m = n-m} a_m \ b_{k_0} \cdots b_{k_m} \ \lambda^{n+1}$$

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• Loop of \mathcal{P} -expanded series: the same holds for series expanded over any operad \mathcal{P} with $\mathcal{P}(0) = 0$ and $\mathcal{P}(1) = \{id\}$ and coeff in \mathcal{A} .

Proof that the free product (*) is necessary

In the loop $\operatorname{Diff}(A)$, call b^{-1} the series as if A were commutative, then $a/b = a \circ b^{-1}$ but $b \setminus a \neq b^{-1} \circ a$!

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• In the series $b \setminus a$, the coefficient

$$(b \setminus a)_3 = a_3 - (2b_1a_2 + b_1a_1^2) + (5b_1^2a_1 + b_1a_1b_1 - 3b_2a_1) \\ - (5b_1^3 - 2b_1b_2 - 3b_2b_1 + b_3)$$

contains the term $b_1a_1b_1$ which can not be represented in the form $f(b) \otimes g(a) \in H_{FdB}^{nc} \otimes H_{FdB}^{nc}$, while clearly belongs to $H_{FdB}^{nc} \otimes H_{FdB}^{nc}$. This **justifies the need to replace** \otimes **by** \circledast in the definition of the coproduct of R[Diff(A)].

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- Moreover, the difference $(a/b-backslash a)_3=b_1^2a_1-b_1a_1b_1$

shows why the non-comm. Faà di Bruno Hopf algebra exists: $\Delta_{\rm FdB}^{\rm nc}$ recovered from $\Delta_{\rm FdB}^{\circledast}$ by composing with the projection

$$H_{
m FdB}^{
m nc} \circledast H_{
m FdB}^{
m nc} \to H_{
m FdB}^{
m nc} \otimes H_{
m FdB}^{
m nc}$$

which identifies $b_1 a_1 b_1$ and $b_1^2 a_1$. Then $a/b = b \setminus a$ and b^{-1} is a two-sided inverse.

(Pro)algebraic loops on non-commutative algebras [AF-IS]



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